PRIVACY RIGHTS VS. COMPLIANCE OBLIGATIONS

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Terry Miller
COMPLIANCE OBLIGATIONS

• ANTI-MONEY LAUNDERING
  – USA Patriot Act, EU Directive

• MARKET ABUSE
  – EU Directive, National Implementation

• ACCOUNTING/AUDITING
  – Sarbanes-Oxley “Hot Line” Requirement
PRIVACY RIGHTS

• DATA PROTECTION DIRECTIVE
  – Article 29 Working Party Opinion

• NATIONAL INTERPRETATIONS
  – French CNIL Guidelines
  – German Walmart case

• COMMON LAW